



## RESEARCH LETTER

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## Key Points:

- Two models proposed for Ventura-Pitas Point fault are tested using mechanical models: (1) ramp model and (2) a constant dip model
- Models of the ramp geometry for the Ventura-Pitas Point fault system better fit geologic slip rate and vertical GPS deformation patterns
- Mechanical models of the SCEC CFM5.0 fit regional slip rate and GPS data better than previous CFM versions

## Supporting Information:

- Supporting Information S1
- Figure S1
- Figure S2
- Data Set S1
- Data Set S2

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## Mechanical models favor a ramp geometry for the Ventura-pitas point fault, California

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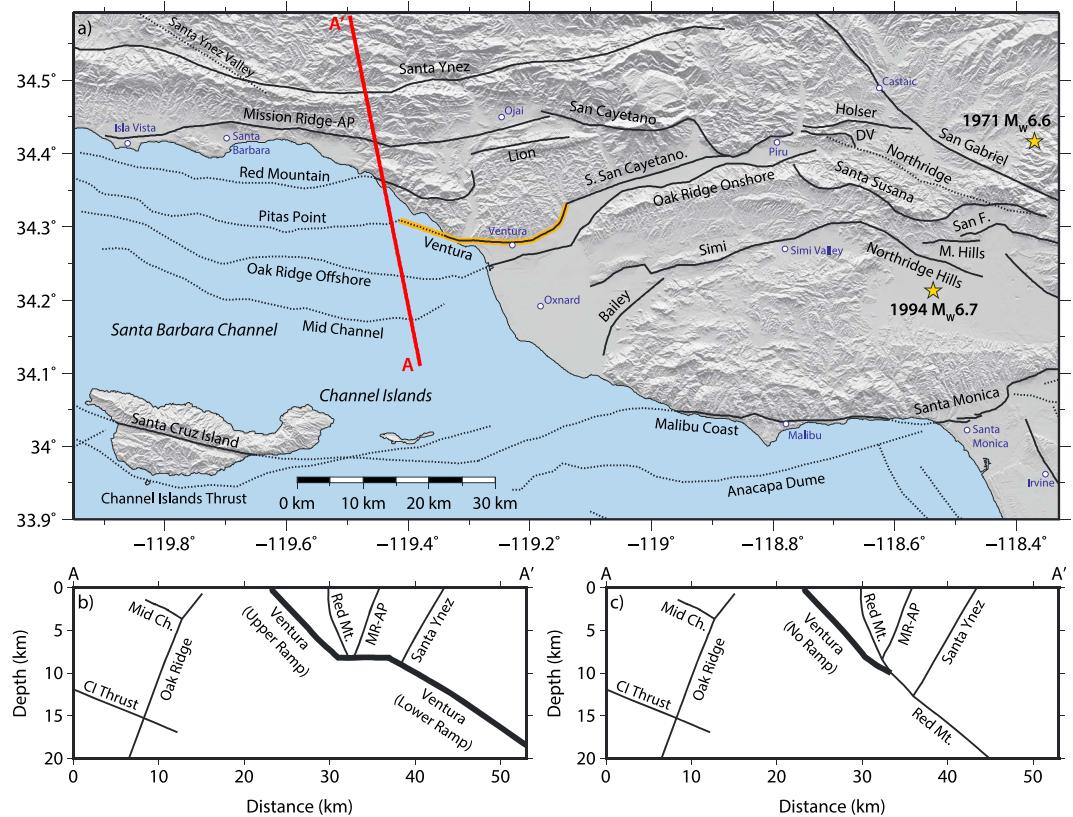
**Abstract** Recent investigations have provided new and significantly revised constraints on the subsurface structure of the Ventura-Pitas Point fault system in southern California; however, few data directly constrain fault surfaces below ~6 km depth. Here, we use geometrically complex three-dimensional mechanical models driven by current geodetic strain rates to test two proposed subsurface models of the fault system. We find that the model that incorporates a ramp geometry for the Ventura-Pitas Point fault better reproduces both the regional long term geologic slip rate data and interseismic GPS observations of uplift in the Santa Ynez Mountains. The model-calculated average reverse slip rate for the Ventura-Pitas Point fault is  $3.5 \pm 0.3$  mm/yr, although slip rates are spatially variable on the fault surface with  $> 8$  mm/yr predicted on portions of the lower ramp section at depth.

### 1. Introduction

Awareness of the hazards associated with continental thrust faults has increased considerably in recent years, following recent damaging thrust earthquakes including the 1994 M6.7 Northridge, 1999 M7.6 Chi Chi, 2005 M7.5 Kashmir, 2008 M7.9 Wenchuan, 2015 M7.8 Gorkha, and the 2016 M7.8 Kaikoura events. Notably, the 2008 M7.9 Wenchuan event involved coordinated rupture on multiple geometrically-complex thrust segments [Shen *et al.*, 2009; Xu *et al.*, 2009; Hubbard *et al.*, 2010]. Evidence for several large magnitude (~M8) multi-fault ruptures has recently been suggested to have occurred along the Ventura-Pitas Point fault system in southern California [Hubbard *et al.*, 2014; McAuliffe *et al.*, 2015; Rockwell *et al.*, 2016]. The potential effects of a repeat event of this type on the densely populated urban areas of the Ventura and Los Angeles basins are likely severe, including strong shaking [Field, 2000], tsunami formation and associated infrastructure damage and human and economic losses [Ryan *et al.*, 2015]. Therefore, detailed knowledge of the subsurface fault geometry of this system is vital for accurate future hazard assessments in southern California.

The Ventura-Pitas Point fault system lies in the Western Transverse Ranges of southern California amongst a network of non-planar oblique reverse faults (Figure 1). In the city of Ventura, McAuliffe *et al.* [2015] document subsurface stratigraphic evidence for a minimum of 5.2–6.0 meters of uplift in the two most recent earthquake events along the Ventura fault. To the west, along the coast near Pitas Point, a series of uplifted emergent marine terraces preserve evidence for up to four events in the last 6,700 years, each with 7–11 meters of associated coseismic uplift [Rockwell *et al.*, 2016]. Such large magnitude coseismic uplifts imply a history of ~M8.0 earthquakes which, in turn, require a long fault, capable of ~10 m of slip per event [Hubbard *et al.*, 2014; McAuliffe *et al.*, 2015; Rockwell *et al.*, 2016]. Along with these recent discoveries of large magnitude paleo-slip events, Hubbard *et al.* [2014] provide subsurface geophysical evidence that the Ventura fault is structurally linked with the Pitas Point fault to the west and with the San Cayetano fault to the east, forming a single through-going seismically active fault surface of  $> 100$  km length. Henceforth, we refer to this single continuous fault surface as the Ventura-Pitas Point (VPP) fault.

Despite numerous analyses of subsurface borehole and geophysical data across the VPP fault [Sarna-Wojcicki *et al.*, 1976; Yeats, 1982, 1983; Rockwell *et al.*, 1984; Huftile and Yeats, 1995, 1996; Hubbard *et al.*, 2014], few geophysical data exist that can uniquely resolve the VPP fault structure at depths  $> 6$  km. Thus, two distinct models have been proposed for the deep fault structure. The first model, which we term the “ramp model,” is based on Hubbard *et al.* [2014] and represents the VPP fault flattening into a nearly horizontal décollement at ~7 km depth and then steepening into a lower ramp section farther north (Figure 1). The second model, which we term the “no ramp model,” maintains a nearly constant dip angle as is observed in the shallow



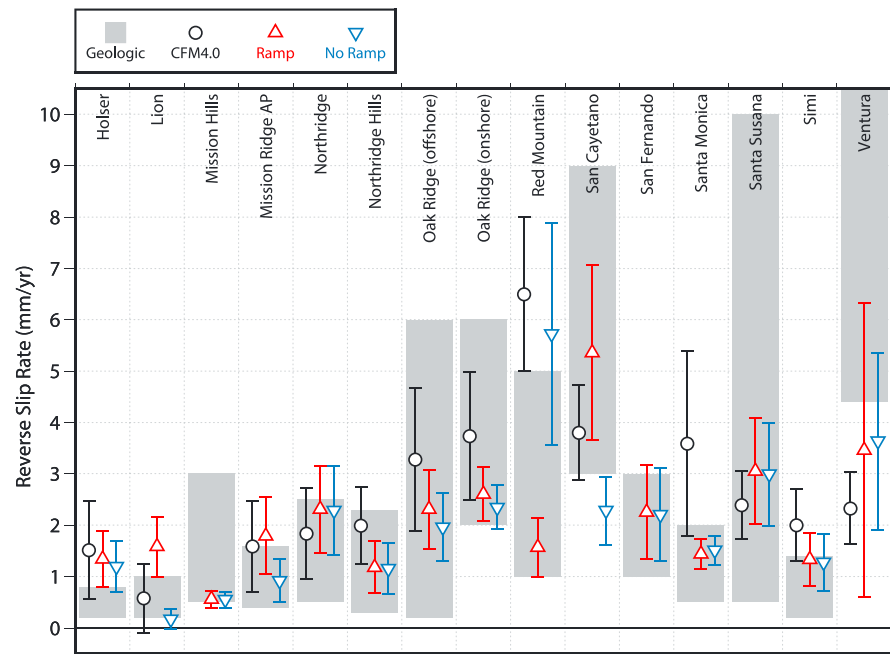
**Figure 1.** (a) Map of modeled fault traces of the western Transverse Ranges region based on the SCEC CFM5.0. Dashed lines indicate blind and/or offshore faults and the orange trace shows the extent of the Ventura fault in CFM4.0. Since the Pitas Point, Ventura, and South San Cayetano faults form a single through-going surface, we refer to this single surface as the Ventura-Pitas Point fault. Gold stars show the epicenters of the 1971 San Fernando and 1994 Northridge earthquakes. Cross-sections through the (b) ramp and (c) no ramp models. Fault abbreviations are as flows: DV, Del Valle; San F., San Fernando; M. Hills, Mission Hills; Mission Ridge-AP/MR-AP, Mission Ridge-Arroyo Parida; CI Thrust, Channel Islands Thrust; Mid Ch., Mid Channel.

portions of the fault until the fault merges with the Red Mountain fault at a depth of 10 km (Figure 1). This model is based on extending the near surface portion of the VPP fault to agree with earthquake hypocenters from two recent earthquake aftershock sequences [Kammerling *et al.*, 2003]. These alternate VPP fault geometries are markedly different from past realizations of the fault system [e.g. Plesch *et al.*, 2007; Marshall *et al.*, 2008; Marshall *et al.*, 2013] and imply different structural linkages with several other faults in the region at depth. For example, the ramp model links the VPP and San Cayetano faults at depth whereas the San Cayetano fault is unconnected to any other subsurface structure in the no ramp representation. Furthermore, in the ramp model, the Red Mountain fault is truncated by the VPP fault, so the Red Mountain fault only exists above 8 km depth. Because existing data cannot directly resolve the deep fault structure, both Ventura-Pitas Point fault models are plausible and warrant testing with independent data.

Here, we test the two proposed VPP fault system geometries against geologic slip rate data and geodetic velocities, using an established mechanical modeling method, in order to ascertain which VPP fault geometry is most compatible with both long term slip rate and short term geodetic data.

## 2. Mechanical Modeling of Long-Term Slip Using Realistic Fault Geometries

The first step in our modeling process is to produce representations of the ensemble fault geometries of the two competing fault geometric models. Our modeled fault surfaces in the western Transverse Ranges are based upon the Southern California Earthquake Center (SCEC) Community Fault Model version 5.0



**Figure 2.** Model-calculated area-weighted average reverse slip rates (symbols) compared to existing geologic slip rate estimates (gray rectangles) for faults in the western Transverse Ranges region. For model-calculations, only elements within the seismogenic crust (<20 km depth) are used in the calculation.

(CFM5.0), with additional modifications for the ramp and no ramp cases. The CFM5.0 represents a compilation of detailed geometric information about the faults in southern California based upon all available geologic, geophysical, and geodetic data [Plesch *et al.*, 2007]. As uniformity of fault element shapes is preferred for stability in our numerical modeling codes, we fit meshes of tessellated near-equilateral triangular elements to the CFM5.0 fault surfaces, taking care to preserve any geometrical complexities and irregularities present. In total, 74 structures are represented in the two alternative fault models, with over 18,000 individual triangular elements in each, and a mean element size of  $\sim 3.8 \text{ km}^2$ . A three-dimensional interactive version of the fault meshes, a complete fault trace map, and the fault mesh numeric data are provided with the accompanying auxiliary materials (Figures S1-S5), and additional details on the meshing procedure are provided in the supplementary materials.

Next, we use the method of Marshall *et al.* [2013] to estimate the distribution of fault slip on the fault ensembles, testing both the ramp and no ramp cases. We summarize the procedure here, but additional details of the modeling methodology are provided in the supplementary materials. The best-fitting regional-scale horizontal strain rate tensor from GPS data, with the three-dimensional effects of deformation from the San Andreas fault removed [Marshall *et al.*, 2013] is resolved onto our meshed fault surfaces, using the Boundary Element Method code, Poly3D [Thomas, 1993], allowing each element to slip freely. This formulation allows us to calculate distributions of fault slip that are kinematically compatible with the applied regional strain rate, while simultaneously accounting for mechanical interactions between all modeled fault elements. In this way, we estimate slip rates for each modeled fault element that can be compared individually or collectively to geologic estimates of long-term slip rates.

The model-calculated average reverse slip rates for each fault, for both the ramp and no ramp cases are compared to existing geologic estimates in Figure 2. Although our model results provide a distribution of slip rates across each fault surface, for the purposes of comparison we estimate a single area-weighted average slip rate and area-weighted standard deviation of slip values for each surface and plot the  $1\sigma$  ranges as error bars in Figure 2. Thus, a large error bar on Figure 2 represents a fault surface with large spatial variations in slip rates. We compare the model calculated average slip rates with two other quantities: 1) geologic reverse slip rate estimates and 2) the corresponding average reverse slip rate estimates from our earlier study [Marshall *et al.*, 2013], based on the older and significantly different CFM4.0 fault geometries which lack structural

connections between the VPP faults. Geologic reverse slip rate ranges are taken from the UCERF3 report [Field *et al.*, 2013, 2014] with the exceptions of the upper slip bound of 1.4 mm/yr for the Simi fault [DeVecchio *et al.*, 2012], and the 4.4-10.5 mm/yr slip rate range of the VPP [Hubbard *et al.*, 2014]. Although most of the faults in the region are likely to have an oblique component of slip [Marshall *et al.*, 2008], there are no well-constrained long-term estimates of strike-slip rates in the region. We therefore focus on comparing the existing reverse slip rate estimates to the model predictions.

We find that the ramp model agrees with all of the geologic slip rate ranges within the model-calculated  $1\sigma$  ranges, and that the no ramp model matches fourteen out of fifteen of the geologic slip rates with the only mismatch occurring on the San Cayetano fault. Both of these CFM5.0 models fit the geologic slip rate data better than the CFM4.0 model of Marshall *et al.* [2013], which does not fit two key regional faults: the Red Mountain and VPP faults. The CFM4.0 model predicts slower average slip rates on the VPP fault overall than are supported by the geologic data (Figure 2), and due to its small surface area (compared to CFM5.0) is likely incompatible with the numerous recent discoveries of large magnitude uplift events along the fault [Hubbard *et al.*, 2014; McAuliffe *et al.*, 2015; Rockwell *et al.*, 2016].

Due to large uncertainties in the existing long-term slip rate estimates, it is not surprising that all of the models fit the majority of existing slip rates within the existing ranges. To better distinguish which model is most compatible with existing slip rates, we now focus on examples of stark differences in model predicted slip rates between two key regional faults. In the ramp model, the Red Mountain fault is truncated by the VPP fault along the horizontal ramp at a depth of  $\sim 7$  km, which dramatically slows down the Red Mountain fault slip rates. The no ramp model predicts much faster slip rates for the Red Mountain fault because the VPP fault is truncated by the Red Mountain fault at 10 km depth. In essence, the ramp model geometry suggests that the VPP fault is the master regional fault at depth, and is therefore the main driver of interseismic deformation, while the no ramp model suggests the Red Mountain fault is the master fault at depth. We prefer the slower slip rate of the ramp model for the Red Mountain fault because 1) the Red Mountain fault does not have a clear geomorphic signature (i.e. a young sharp topographic scarp), while the VPP does [McAuliffe *et al.*, 2015], and 2) the UCERF3 preferred reverse slip rate is 2 mm/yr [Field *et al.*, 2013], which is only within the  $1\sigma$  range of the ramp model.

Additionally, the two CFM5.0 models predict significantly different average slip rates for the San Cayetano fault (Figure 2). The ramp model predicts much faster slip rates that are closer to the UCERF3 preferred slip rate of 6 mm/yr for the San Cayetano fault. We therefore again suggest that the ramp model better fits the geologic slip rate data.

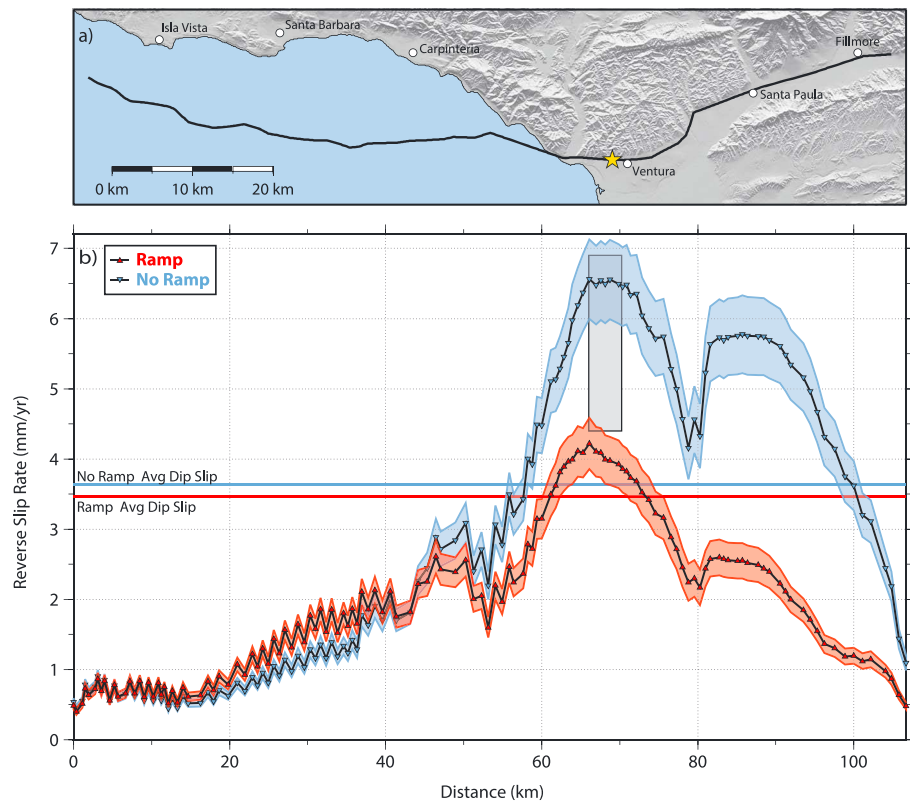
Long term fault slip rates throughout the western Transverse Ranges are likely to exhibit significant spatial variations [e.g. Marshall *et al.*, 2008]. Given that the long term slip rate estimate of Hubbard *et al.* [2014] is based on data that spans only small portion of the VPP fault surface, we now seek to determine which model predicts compatible slip rates at the location of the existing estimate, and if the existing estimate was made in a location that should yield an average value for the entire fault surface. To accomplish this, we compute the distribution of slip rates at the surface of the modeled half-space, which simulates the slip that may be observed in the near surface by a geologic or near-surface geophysical study.

At the location of the Hubbard *et al.* [2014] study, both models predict local reverse slip rates that are compatible with the long term slip rate estimate within the error limits (Figure 3). Additionally, the ramp model predicts slip rates on the lower ramp section that exceed 8 mm/yr in some locations, which is compatible with the Hubbard *et al.* [2014] deep slip rate of 6.6-10.5 mm/yr.

The Hubbard *et al.* [2014] slip rate estimate for the VPP fault is located near the middle of the VPP fault trace where both the ramp and no ramp models predict slip rates that are faster than the weighted average slip rate over the entire VPP fault surface (Figure 3). In fact, both models predict the fastest near surface slip rates should occur near the location of the Hubbard *et al.* [2014] study. According to the ramp and no ramp models, the location of the Hubbard *et al.* [2014] slip rate estimate should yield reverse slip rates that are 15% and 79%, respectively, above average for the VPP fault as a whole.

### 3. Comparing Model-Predicted Interseismic Deformation Rates With GPS Data

An alternative means of testing our competing models against data is to simulate the expected interseismic deformation rates for each and compare them to GPS data. Since the ramp and no ramp representations use



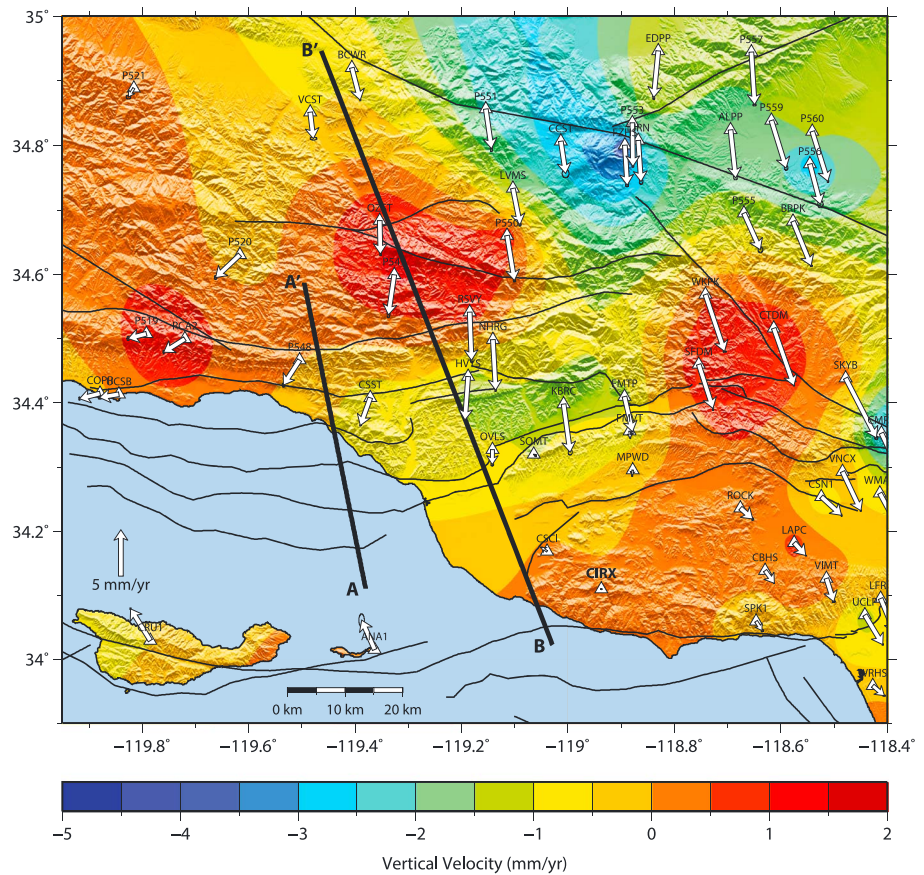
**Figure 3.** (a) Fault trace map of the VPP fault. A gold star marks the location of the slip rate estimate of *Hubbard et al.* [2014]. (b) Model-predicted slip distributions at the surface of the Earth for the VPP fault. The gray rectangle shows the location and reverse slip rate range estimated by *Hubbard et al.* [2014]. The red and blue ranges reflect uncertainty in the regional strain rate boundary conditions.

significantly different deep fault structures for the VPP and Red Mountain faults, the interseismic deformation produced by these two models is distinct.

For this analysis, we use continuous GPS data from 56 stations in the Plate Boundary Observatory (PBO) network provided by the MEASUREs project (<ftp://sopac-ftp.ucsd.edu/pub/timeseries/measures/ats/WesternNorthAmerica/>). Here, we use the minimally pre-processed daily 'raw-trended' time series data, and apply an established time series processing methodology [*Marshall et al.*, 2013; *Herbert et al.*, 2014], which we summarize here.

We select GPS stations with more than two years of data since 2004, which postdates the vast majority of postseismic transient motion associated with the 1999 M7.1 Hector Mine earthquake [*Shen et al.*, 2011]. To estimate secular velocities at each station, we estimate and remove annual and semi-annual motions, offsets from equipment changes, common mode error [*Dong et al.*, 2006], and co- and post-seismic deformation associated with the 2010 M7.2 El Mayor Cucapah earthquake [*Gonzalez-Ortega et al.*, 2014]. To isolate the tectonic deformation associated with only faults in the western Transverse Ranges region, we additionally remove interseismic deformation associated with the San Andreas, San Jacinto, and Garlock faults using a kinematic rectangular dislocation model using the geometry, fault slip rates, and locking depths from *Loveless and Meade* [2011]. We discard two GPS sites in the western Transverse Ranges region due to clearly anomalous vertical velocities: VNCO and P729. Both of these sites were identified by *Marshall et al.* [2013] as being in a zone of subsidence due to groundwater extraction.

Existing studies of GPS velocities from the western Transverse Ranges region all show a highly localized horizontal velocity gradient located directly above the Ventura sedimentary basin [*Donnellan et al.*, 1993a, 1993b; *Hager et al.*, 1999; *Marshall et al.*, 2013]. *Hager et al.* [1999] showed that this sharp contraction gradient could be reproduced with a two-dimensional finite element model with a spatially-variable low elastic modulus feature simulating the Ventura sedimentary basin. As a result, *Marshall et al.* [2013] argue that the

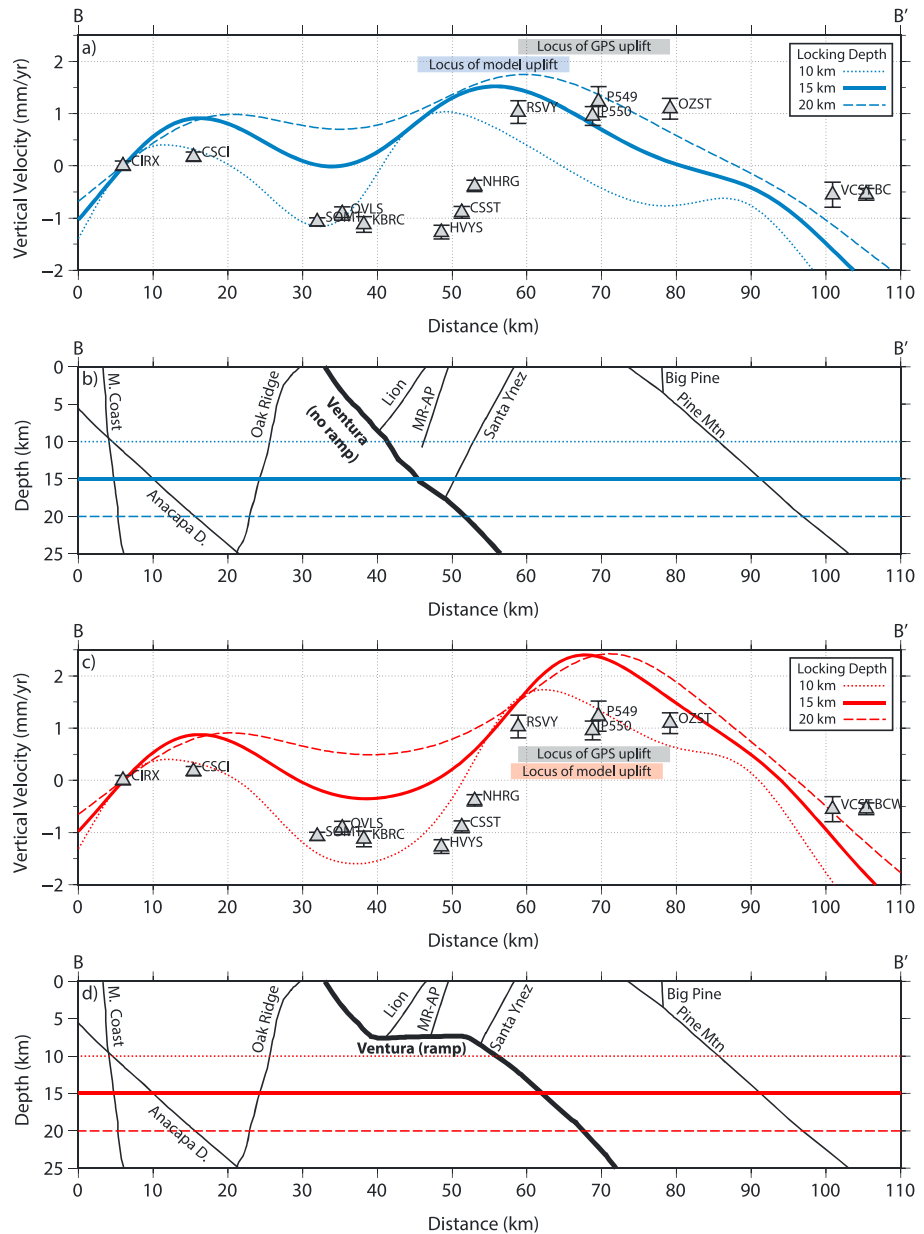


**Figure 4.** GPS horizontal (arrows) and vertical (colored contours) velocities relative to station CIRX in the Santa Monica Mountains. Thick black lines indicate the location of profiles used in Figure 1 (A-A') and Figure 5 (B-B'). Stations AOA1, TOST, VNCO, P729, CUHS, BKR1, TABV, and P554 are excluded here due to clearly anomalous vertical velocities.

horizontal GPS velocities in the western Transverse Ranges region are likely significantly contaminated by non-faulting-related deformation processes acting in the Ventura sedimentary basin. Therefore, we focus here on whether the ramp or no ramp models better fit the vertical GPS deformation patterns.

In order to simulate interseismic deformation, we create a second set of models where we prescribe the geologic timescale model-calculated slip rate values on elements below a chosen locking depth and lock all elements above that depth [Marshall et al., 2009]. These interseismic forward models can then be used to predict the velocities at the locations of GPS stations. We note that these interseismic models are forward models, and therefore may not fit the GPS data as well as a typical inverse model; however, since the interseismic models used here are based on the mechanical model calculated slip rates, we can be certain that the subsurface slip rate distributions are mechanically plausible. The focus here is to determine only which model fits the general patterns of vertical deformation in the region.

Since the GPS data are spatially sparse (Figure 4), we project the vertical velocities of reliable sites within a 40 km wide zone onto a N20W profile that extends through the western Transverse Ranges region (Figure 5). In general, the GPS profile shows ~1 mm/yr of subsidence across the Ventura basin (approximately 25-55 km distance on Figure 5) and ~1 mm/yr of uplift to the north of the basin (60-80 km on Figure 5). Interseismic model predictions for locking depths of 10, 15, and 20 km clearly show that the no ramp model produces uplift too far south compared to the GPS data. On the other hand, the ramp model with a locking depth of 15 km predicts loci of relative uplift and subsidence in the approximately correct locations and therefore fits the general pattern of GPS vertical deformation well overall. The under-fitting of the subsidence signal (e.g. 30-55 km in Figure 5) is likely due to nontectonic compaction in the sediments of the Ventura basin [e.g. Nicholson et al., 2007]. Therefore, we argue, that the vertical GPS data favor a model that includes a shallow crustal ramp.



**Figure 5.** (a) N20W profile through GPS vertical velocities (gray triangles) in the western Transverse Ranges region. Blue curves show model predictions for the no ramp model. All velocities are relative to station CIRX. (b) Cross-sections through the three dimensional model showing the fault geometry at the profile location. Blue horizontal lines show the three locking depths plotted in part (a). (c-d) Same as (a-b) but for the ramp model.

### 4. Conclusions

The CFM5.0 represents a significant update compared to previous CFM versions with completely updated representations of the VPP and several other major regional faults. Based on mechanical model results, CFM5.0 based mechanical models better match long term geologic slip rates compared to CFM4.0 based models. With this improved deformation model, we are now able to provide updated model-calculated slip rate estimates for all of the regional faults within the region where our modeled boundary conditions are appropriate (Table S1, supplemental materials).

Uncertainty in the deep geometry of the VPP fault has led to the proposal of two distinct subsurface models (with and without a midcrustal ramp structure) in the CFM5.0. Mechanical model predictions indicate that the

ramp model of the VPP fault is more compatible with existing regional geologic slip rate data compared to the no ramp model because the no ramp model predicts geologically unlikely slip rates along the Red Mountain and San Cayetano faults. Comparisons of CFM5.0 interseismic models to vertical GPS velocities show that the no ramp model predicts interseismic uplift  $\sim 15$  km too far south compared to the GPS velocities. In contrast, the ramp model predicts loci of uplift and subsidence that largely agree with the data. In the end, mechanical model predictions favor a ramp geometry for the VPP fault.

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